PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/729,716 TRANSMITTAL Filing Date December 5, 2003 **FORM** First Named Inventor Vijay Varadan Art Unit 1754 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 2002-2730 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/729,716			
Filing Date	December 5, 2003			
First Named Inventor	Vijay Varadan			
Art Unit	1754			
Examiner Name				
Attorney Docket Number	2002-2730			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where     Relevant Passages or Relevant     Figures Appear
	-	US- 5,413,866	05-09-1995	Baker, et al.	
		<sup>US-</sup> 6,231,980	05-15-2001	Cohen, et al.	
		us- 6,232,706	05-15-2001	Dai,et al.	
		<sup>US-</sup> 6,333,016	12-25-2001	Resasco, et al.	
		<sup>US-</sup> US 2002-0127170	09-12-2002	Hong, et al.	
		<sup>US-</sup> 6,455,021	10-24-2002	Saito	
		<sup>US-</sup> US 2003/0010279	01-16-2003	Nakayama, et al.	
		US- 6,558,645	05-06-2003	Nakayama, et al.	
		<sup>US-</sup> 6,576,341	06-10-2003	Davey, et al.	
		<sup>US-</sup> 6,597,090	07-22-2003	Mancevski	
		US-			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	. MM-DD-YYYY		Or Relevant Figures Appear	Τ <sup>6</sup>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	10/729.716	
			CLOSURE	Filing Date	December 5, 2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Vijay Varadan	
(Use as many sheets as necessary)				Art Unit	1754 ·	
(Use as many sneets as necessary)				Examiner Name		
Sheet	2.	of	2	Attorney Docket Number	2002-2730	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	T
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		AMELINCKX, et al., A Formation Mechanism for Catalytically Grown Helix-Shaped Graphite Nanotubes, 29 July 1994, Science, vol. 265, pages 635-639.	
		BIRO, et al. Coiled carbon nanotube structures with supraunitary nonhexagonal to hexagonal ring ratio, 4 October 2002, Physical Review B, vol. 66, pages 165405-1 to 165405-6.	
		PAN, et al., Growth mechanism of carbon nanocoils, 15 June 2002, Journal of Applied Physics, vol. 91, no. 12, pages 10058-10061.	
		VOLODIN, et al, Imaging the Elastic Properties of Coiled Carbon Nanotubes with Atomic Force Microscopy, 10 April 2000, Physical Review Letters, vol.84, no. 15, pages 3342-3345.	

Examiner		Date	
Signature	·	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

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